

Overview of the Quarterly NPDES Noncompliance Report (NNCR)

Thursday, April 2nd, 2026



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Training Goals

- Learn about the quarterly NNCR
- Identify what is and is not included in the quarterly report
- Discover how to access the quarterly NNCR online
- Understand how to find more information and where to direct questions after today's training

This presentation does not include detailed information about the underlying rules and logic used to develop the reports. We will provide additional trainings that focus on this by [violation type](#).

Agenda

1. Terminology review
2. NNCR overview
3. Background on the EPA/state workgroup
4. NPDES violations and NNCR terminology
5. FAQs
6. Accessing the quarterly report and next steps
7. Questions

Terminology Review



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ECHO

- U.S. Environmental Protection Agency provides public access to its regulatory compliance and enforcement data
- The Enforcement and Compliance History Online (ECHO) website integrates data from major EPA information systems for public use
- ECHO provides compliance information for more than 1,000,000 regulated facilities
 - How they are regulated
 - When they were inspected
 - Whether violations of environmental regulations were found
 - Whether an enforcement action was taken

NPDES

- NPDES stands for National Pollutant Discharge Elimination System
- The NPDES permit program addresses water pollution by regulating point sources that discharge pollutants to waters of the United States
- States, U.S. territorial, and tribal government agencies may apply and be authorized by EPA to implement the NPDES permit program

Noncompliance vs Violation

- Noncompliance and violation are used interchangeably in the presentation
- Both represents instances when an entity was in violation of the Clean Water Act, as identified in EPA's Integrated Compliance Information System for National Pollutant Discharge Elimination System (ICIS-NPDES) database or NPDES eReporting Tool (NeT)

NPDES Electronic Reporting Rule (eRule)

- 2015 rule required EPA and states to modernize Clean Water Act reporting
- The rule replaced most paper-based reporting requirements with electronic reporting and phased in implementation into two parts
- **Phase 1** (NNCR integration starting 12/2022):
 - Discharge Monitoring Reports and
 - Biosolids Annual Program Reports (where state is not authorized)
- **Phase 2** (NNCR integration starting 12/2026):
 - Concentrated Animal Feeding Operation Annual Program Reports
 - Municipal Separate Storm Sewer System (MS4) Program Reports
 - Pretreatment Program Annual Reports
 - Sewer Overflow/Bypass Event Reports
 - Significant Industrial User (SIU) Bi-annual Compliance Reports for municipalities w/o approved pretreatment programs
 - Biosolids Annual Program Reports (where state is authorized)

NNCR Overview



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What is the NNCR?

- NNCR stands for the NPDES Noncompliance Report and stems from 40 CFR §123.45
- This regulation requires EPA to produce online quarterly and annual noncompliance reports

What is the quarterly NNCR?

- The quarterly NNCR is a list of facilities with violations
- For each facility included, the quarterly report includes violations that occurred within the quarter, as well as violations from previous quarters that have not been resolved, details about the violations, and enforcement actions taken in response to the violations

Regulatory Background

The 2015 NPDES eRule included updates to [40 CFR §123.45](#), including:

- EPA publishing online and making publicly available,
- Changing from three *state-issued* to two *EPA-issued* noncompliance reports,
- Major, non-major, and unpermitted facilities, and
- Incorporating all violations and eliminating ‘non-reportable’ violations.

EPA Schedule for Quarterly NNCRs

Calendar Quarter	Federal Quarter	EPA Publication
October, November, December	Quarter 1	Last day in February
January, February, March	Quarter 2	May 31
April, May, June	Quarter 3	August 31
July, August, September	Quarter 4	November 30

Note: FY2021 Q1 is the first quarterly NNCR and only includes violations that occurred during that quarter, while subsequent quarterly reports can include violations from multiple quarters

Background on NNCR EPA/State Workgroup

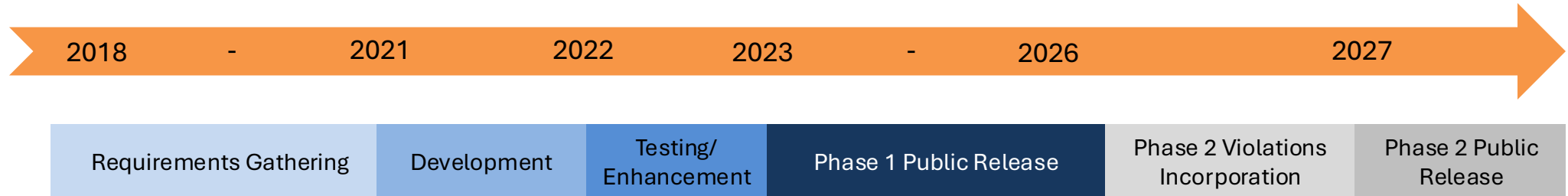


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EPA/State Workgroup

- A workgroup comprised of EPA and state agencies launched in 2016 and began meeting regularly in 2018
- Participation included 39 states, 8 EPA Regions, Office of Compliance, Office of Civil Enforcement, and Office of Wastewater Management
- The purpose of the group was to make policy recommendations and develop guidelines to support the implementation of the NNCR requirements
- Included over 100 meetings to discuss the rules for violation processing, the design and display of the reports, NNCR Guide, FAQs, and training materials

Development Timeline



- 2018: Regular workgroup meetings launched
- 2021: Development began
- 2022: Beta quarterly and annual reports available on ECHO Lab
- 2023: Public release
 - Quarterly Report in February 2023
 - Annual Report in March 2023
- 2026: Incorporation of NPDES eRule Phase 2 violation data

NPDES Violations



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Violations included in the NNCR

There are 4 violation types:

1. DMR reporting violations
2. Effluent exceedance violations
3. Schedule violations
4. Single event violations, currently referred to as “Other Violations” or by their associated NPDES program

DMR Reporting Violations

- NPDES permittees are required to periodically report their self-monitoring results on discharge monitoring reports (DMRs)
- DMR reporting violations are generated when the results from the self-monitoring have not been reported within 30 days of the due date
- Violations are generated for missing DMR values and when a noncompliant no data indicator (NODI) was reported
- A NODI is a unique code/description that indicates the reason that “No Discharge” or “No Data” was reported on a DMR

Effluent Exceedance Violations

- Effluent exceedance violations are generated when a reported DMR value exceeds the limit value
- This includes exceedances of permit limits, permit modification limits, stay limits, and enforcement limits
- This does not include exceedances of alert limits or benchmark thresholds because these are not violations

Schedule Violations

- Schedule violations are generated when date-based requirements are not met as part of a permit or enforcement action requirement
 - Examples of scheduled events are submitting additional monitoring reports or starting/stopping construction
- Although multiple violations can be generated for each required event, the NNCR only includes one violation per event

Other (Single Event) Violations

- Single event violations (SEVs) are a catchall for all other violations
- This includes violations manually identified (e.g., from an inspection) and self-reported violations automatically generated from program reports
- *NPDES program (pretreatment, stormwater, combined sewer overflows, sanitary sewer overflows, etc.) violations have not yet been integrated into the NNCR universe*

NNCR Terminology



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NNCR Terminology

The report includes additional details related to the violations, which includes some unique terminology:

- Violation Category
- Violation Detection
- Violation Status
- Violation Resolution

Violation Category

40 CFR §123.45 requires every violation to be designated as a Category I or II violation. The regulation includes specific criteria for Category I violations. All violations that do not meet Category I criteria must be designated as Category II.

Examples of Category I violations:

- Effluent exceedances of enforcement action limits or permit limit exceedances that meet a specific magnitude or frequency in a 6-month period
- Failure to submit select reports within 30 days of the due date
- Failure to start/stop construction within 90 days of the due date
- Violations that caused or could cause water quality impacts

Violation Detection

Each violation includes a detection description and date, which provides more detail about the violation category (I or II) and the date the violation's detection was last updated.

Violation Status

There are three high-level violation statuses included in the NNCR:

1. **Unresolved** – facility has not corrected the violation on their own and the regulatory authority has not taken a formal enforcement action with a compliance schedule to respond to the violation
2. **Resolved Pending** – violation has been included in a formal enforcement action with a compliance schedule, but the enforcement action has not yet been closed
3. **Resolved** – facility has corrected the violation on their own, violation was system administratively resolved, the permit was terminated, or a regulatory authority responded to the violation with a formal enforcement action with a compliance schedule that has been closed

Violation Resolution

- A violation can include a resolution description and date, which provides more detail about the violation status (resolved pending or resolved) and the date the resolution was last updated.
- Violations with a resolved pending status will have a resolution description and date but does not signify the violation is “resolved.”
- Violations with a status of unresolved will not have a resolution description or date.

NNCR FAQs



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NNCR Frequently Asked Questions

1. How does a facility get on the quarterly NNCR?
 - A facility will be listed on a quarterly report if the facility had a violation that occurred during that quarter or has violations from previous quarters that have not been resolved
2. How does a facility get off the quarterly NNCR?
 - A facility will be removed from future quarterly reports if the facility has no violations that occurred during the quarter and all violations from the previous quarters have been resolved

NNCR Frequently Asked Questions

3. Are the data/reports frozen?
 - No, EPA is not archiving past versions of the NNCR. Instead, it is an up-to-date reflection of the violations

4. How often is the data refreshed?
 - Data is refreshed weekly on Saturdays with data reported to ICIS-NPDES by Friday evening

5. Why is the data refreshed weekly for a quarterly report?
 - If a data error caused a violation to appear and was later corrected, the correction would "fix" the historic data and the update would be reflected in the applicable quarterly and annual reports after the next data refresh

Accessing the NNCR



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Accessing the NNCR

- The quarterly NNCR is available on ECHO (echo.epa.gov) within the blue “Search Options” tab (in bottom left corner)
- [Quarterly NNCR Search](#)
- [NNCR Help Documentation](#)
- [NNCR Trainings](#)
- [NNCR Guide](#)

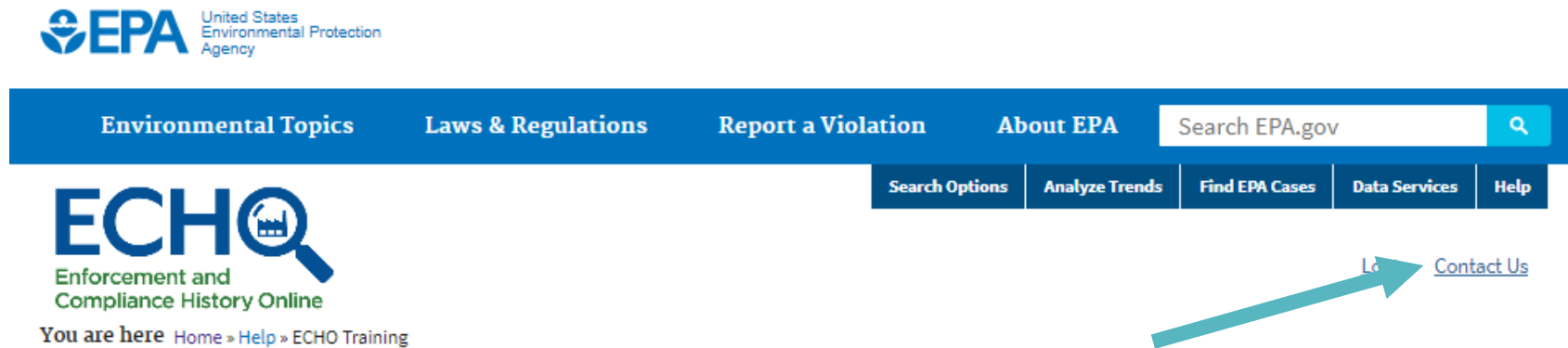
Demonstration



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Next Steps

If you have questions about the NNCR or have suggested recommendations for the workgroup to consider, please reach out to the [ECHO Help Desk](#).



The screenshot shows the EPA website header with navigation links: Environmental Topics, Laws & Regulations, Report a Violation, and About EPA. A search bar is present with the text "Search EPA.gov". Below the header, the ECHO logo is displayed with the text "Enforcement and Compliance History Online". A breadcrumb trail reads "You are here Home » Help » ECHO Training". A teal arrow points from the text below to a "Contact Us" link located at the top right of the page.

“Contact Us” link is available at the top right of every ECHO page